



**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: CA1400

Christopher L. STONE, et al.

Appln. No.: 10/684,296

Group Art Unit: 2837

Confirmation No.: 7918

Examiner: Jianchun QIN

Filed: October 10, 2003

For: **SYSTEM AND METHOD FOR DYNAMIC NOTE ASSIGNMENT FOR MUSICAL SYNTHESIZERS**

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of

**INFORMATION DISCLOSURE STATEMENT**

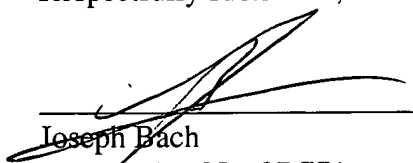
U.S. Appln. No.: 10/684,296

Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore please charge the fee of \$180.00 under 37 C.F.R. § 1.17(p) to Deposit Account No. 19-4880.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
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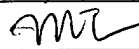
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number 10/684,296  
 Confirmation Number 7918  
 Filing Date October 10, 2003  
 First Named Inventor Christopher L. STONE  
 Art Unit 2837  
 Examiner Name Jianchun QIN  
 Attorney Docket Number CA1400

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		"Garritan Personal Orchestra Ensemble Building," Garritan Orchestral Libraries, <a href="http://web.archive.org/web/20041011021446/garritan.com/GPO-ensemble.html">http://web.archive.org/web/20041011021446/garritan.com/GPO-ensemble.html</a> , October 11, 2004.	
		"Garritan Personal Orchestra Controls," Garritan Orchestral Libraries, <a href="http://web.archive.org/web/20041011020846/garritan.com/GPO-control.html">http://web.archive.org/web/20041011020846/garritan.com/GPO-control.html</a> , October 11, 2004.	
		"Garritan Orchestra FAQ Page," Garritan Orchestral Libraries, <a href="http://www.garritan.com/FAQ.html">http://www.garritan.com/FAQ.html</a> , October 11, 2004.	
		"Garritan Personal Orchestra Features," Garritan Orchestral Libraries, <a href="http://web.archive.org/web/20041009173443/garritan.com/GPO.html">http://web.archive.org/web/20041009173443/garritan.com/GPO.html</a> , October 11, 2004.	

Examiner Signature

Date Considered

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.